



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,434	01/23/2002	Der Jeou Chou	40566.0100

Daniel R. Pote, Esq.  
SNELL & WILMER L.L.C.  
One Arizona Center  
400 East Van Buren  
Phoenix, AZ 85004-2202



COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 7922

## FORMALITIES LETTER



\*OC000000007723171\*

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents and Trademarks, Washington D.C. 20231-9998, on:

Date: MAY 17 2002



By French Summary  
Signature of person depositing mail

**COPY OF PAPERS  
ORIGINALLY FILED**

**PATENT**

**IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE**

Applicant(s): Chou et al.  
Serial No.: 10/055,434  
TITLE: Phase-Change Heat Reservoir  
Device for Transient Thermal  
Management

Docket No.: 40566.0100  
Filed: January 23, 2002

**TRANSMITTAL OF MISSING PARTS FOR  
PATENT APPLICATION PURSUANT TO  
37 C.F.R. §1.53(b)**

BOX MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on March 27, 2002 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

**2. Fee Calculation**

Declaration Surcharge (\$130)					=	130.00
Total Claims	1 minus	20 =	0 x	\$ 18.00 =		0.00
Independent Claims	1 minus	3 =	0 x	\$ 78.00 =		0.00
Multiple dependent claim fee, if applicable				\$260.00 +		N/A

**Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)**

[ ]	Missing parts filed within first	month after due date - add	\$ 110.00 +	0.00
[ ]	Missing parts filed within second	month after due date - add	\$ 380.00 +	0.00
[ ]	Missing parts filed within third	month after due date - add	\$ 870.00 +	0.00
[ ]	Missing parts filed within fourth	month after due date - add	\$1360.00 +	0.00
			<b>Subtotal:</b>	<b>\$130.00</b>

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - 65.00

Add: Fee (\$40.00) for recording assignment and other fee(s):

**TOTAL FILING FEES:** \$65.00

**TOTAL FEES ENCLOSED:** \$105.00

**BEST AVAILABLE COPY**

**3. Enclosures (check as applicable)**

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration
- ☐ Power of Attorney
- ☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
- ☒ Check for fees
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.98 (with PTO Form 1449 and copies of citations)
- ☐ Other:

Extra copies of this letter:

- ☐ for charge to deposit account
- ☒ for assignment

**4. Manner of Payment**

- ☐ Please charge Deposit Account No. 19-2814 in the amount of \$\_\_\_\_\_. **A duplicate copy of this sheet is attached.**
- ☒ Check number 289438 in the amount of \$65.00 is attached.  
Check number 289437 in the amount of \$40.00 is attached.

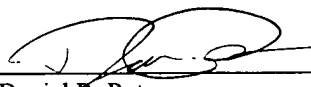
**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

**5. Assignment**

- ☒ An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

Respectfully submitted,

Dated: 5/17/02

By   
Daniel R. Pote  
Reg. No. 43,011

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-2202  
(602) 382-6325  
(602) 382-6070 (fax)

**BEST AVAILABLE COPY**